

12-17-01 A

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	SI01-019	Total Pages	2
First Named Inventor or Application Identifier			Deutsch, Bernhard et al.
Title : Lightwave Guide Cable and Process for Carrying an Optical Signal, Especially with Respect to Multiple Waveguide Techniques			
Express Mail Label No.			EL689100882US

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

on December 10, 2001

(Date)

Signature Walter M. Douglas

"EXPRESS MAIL" Mailing Label No. EL689100882US

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

JC955 U.S.P.T.O.
10/016316
12/10/01

1. <input checked="" type="checkbox"/> * Fee Transmittal Form <i>(Submit an original and a duplicate for fee processing)</i>	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages 16]	6. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
<ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113) [Total Sheets 2]	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 2]	8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>
<ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <i>(for continuation/divisional with Box 16 completed)</i> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). 	
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:	10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional. <input type="checkbox"/> Continuation-in-part (CIP) of prior application No Prior application information: Examiner: TBA Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.	

ACCOMPANYING APPLICATION PARTS

- 7. Assignment Papers (cover sheet & document(s))
- 8. 37 C.F.R. § 3.73(b) Statement Power of Attorney
(when there is an assignee)
- 9. English Translation Document *(if applicable)*
- 10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
- 11. Preliminary Amendment
- 12. Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
- 13. Certified Copy of Priority Document(s) Other:
(if foreign priority is claimed)

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 22928 or Correspondence address below

NAME			
ADDRESS			
CITY	STATE	ZIP CODE	
COUNTRY	TELEPHONE	FAX	
Name (Print/Type)	Walter M. Douglas	Registration No. (Attorney/Agent)	34,510
Signature	<u>Walter M. Douglas</u>		Date Dec. 10, 2001

FEE TRANSMITTAL for FY 2001

TOTAL AMOUNT OF PAYMENT (\$) **740.00**

Compl t if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Deutsch, Bernhard
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	SI01-019

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-3325**

Deposit Account Name **Corning Incorporated**

Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740		Utility filing fee	<u>740.00</u>
106	330		Design filing fee	
107	510		Plant filing fee	
108	740		Reissue filing fee	
114	160		Provisional filing fee	
SUBTOTAL (1)				(\$740.00)

2. EXTRA CLAIM FEES

	Extra Fee from Claims below	Fee Paid
Total Claims	16 - 20** = 0 x 18 =	00.00

Independent Claims	2 - 3** = 0 x 84 =	00.00
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Multiple Dependent	0 =	0.00
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**or number previously paid, if greater; For Reissues, see below

Large Entity	Fee Code	Fee (\$)	Fee Description
103	18		Claims in excess of 20
102	84		Independent claims in excess of 3
104	280		Multiple dependent claim, if not paid
109	84		** Reissue independent claims over original patent
110	18		** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)			(\$0.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130		Surcharge - late filing fee or oath	
127	50		Surcharge - late provisional filing fee or cover sheet	
139	130		Non-English specification	
147	2,520		For filing a request for reexamination	
112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840		Requesting publication of SIR after Examiner action	
115	110		Extension for reply within first month	
116	390		Extension for reply within second month	
117	890		Extension for reply within third month	
118	1,390		Extension for reply within fourth month	
128	1,890		Extension for reply within fifth month	
119	310		Notice of Appeal	
120	310		Filing a brief in support of an appeal	
121	270		Request for oral hearing	
138	1,510		Petition to institute a public use proceeding	
140	110		Petition to revive - unavoidable	
141	1,240		Petition to revive - unintentional	
142	1,240		Utility issue fee (or reissue)	
143	440		Design issue fee	
144	600		Plant issue fee	
122	130		Petitions to the Commissioner	
123	50		Petitions related to provisional applications	
126	240		Submission of Information Disclosure Stmt	
581	40		Recording each patent assignment per property (times number of properties) _____ x	
146	710		Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
149	710		For each additional invention to be examined (37 C.F.R. § 1.129(b))	
Other fee (specify) _____				
Other fee (specify) _____				

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)** **(\$0.00)**

SUBMITTED BY

Completed (if applicable)

Name (Print/Type)	Walter M. Douglas	Registration No. (Attorney/Agent)	34,510
Signature	<i>Walter M. Douglas</i>	Date	Dec. 10, 2001